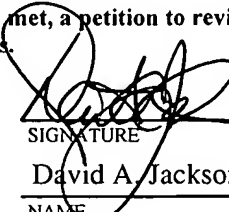| FORM PTO-1390 (REV. 10-2003) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	2543-1-039PCT/US U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **10/510507**

INTERNATIONAL APPLICATION NO. PCT/GB2003/001587	INTERNATIONAL FILING DATE APRIL 10, 2003	PRIORITY DATE CLAIMED APRIL 11, 2002

TITLE OF INVENTION
DIAGNOSIS OF CARCINOMA USING RAIG1 POLYPEPTIDES

APPLICANT(S) FOR DO/EO/US
JONATHAN ALEXANDER TERRETT

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☑ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☑ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☑ The US has been elected (Article 31).

5. ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☑ is attached hereto (required only if not communicated by the International Bureau).
   b. ☑ has been communicated by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☑ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☑ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. ☐ are attached hereto (required only if not communicated by the International Bureau).
   b. ☐ have been communicated by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☐ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).

9. ☑ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNEXECUTED

10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☑ A preliminary amendment.

14. ☐ An Application Data Sheet under 37 CFR 1.76.

15. ☐ A substitute specification.

16. ☐ A power of attorney and/or change of address letter.

17. ☑ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☑ Other items or information: DRAWINGS-8 SHEETS-FIG. 1-8; SEQ. LIST-PAPER; SEQ. STATEMENT IN SUPPORT OF DISI COPY INT'L SEARCH REPT; COPY NOTICE CONCERN TRANSMITTAL OF PRIORITY DOC.

EXPRESS MAIL NO.: EV 226648040 US   DATE OF DEPOSIT: OCTOBER 7, 2004

page 1 of 2

U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
10/510507	PCT/GB2003/001587	2543-1-039PCT/US

21. ☑ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.......... **$1080.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **$920.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO........... **$770.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$730.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **$100.00**

	CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT = $ 920.00

Surcharge of **$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). $

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	$	
Total claims	20 - 20 =	0	x **$18.00**	$ 0	
Independent claims	1 - 3 =	0	x **$86.00**	$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ **$290.00**	$ 0	

TOTAL OF ABOVE CALCULATIONS = $ 920.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. $ N/A

SUBTOTAL = $ 920.00

Processing fee of **$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). $

TOTAL NATIONAL FEE = $ 920.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property + $

TOTAL FEES ENCLOSED = $ 920.00

	Amount to be refunded:	$
	charged:	$

a. ☑ A check in the amount of $ 920.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 11-1153 in the amount of $ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1153 . A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

DAVID A. JACKSON

KLAUBER & JACKSON

411 Hackensack Avenue, 4th Fl.

Hackensack, NJ 07601

(201) 487-5800

SIGNATURE

David A. Jackson

NAME

26,742

REGISTRATION NUMBER